

JANUARY 2005

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Nanoscale Borromean Rings

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Received August 9, 2004

ABSTRACT

The molecular expression of topologically interesting structures represents a formidable challenge for synthetic chemists. The nontrivial link known as the Borromean rings has long been regarded as one of the most ambitious targets in this field. Of ancient provenance, this symbol comprises three interlocked rings in an inseparable union, but cut any one of the rings and the whole assembly unravels into three separate pieces. This Account delineates different strategies that can be applied to the formation of molecules possessing this distinctive topology, culminating with two successful syntheses of such compounds, thus cutting the Gordian knot of topological chemistry.

Introduction

The circle is one of the most ubiquitous and powerful symbols in human culture and has been so since time immemorial. With no beginning or end, this primeval metaphor for eternity is, in a conceptual or physical manifestation, relevant to many, the artist, the philosopher, the mathematician, the engineer, and the scientist to name but a few. Although perhaps apocryphal, the story of Kékulé's dream, in which the cyclic nature of benzene became apparent¹ to him, defines a point in history when chemistry, then still in its infancy, embraced rings. The synthesis and study of macrocycles evolved² thereafter, and the allure of tying together the ends of longer and longer molecular strands remains undiminished to this day.³ Apart from the challenge posed by their synthesis, the properties of cyclic macromolecules⁴ are just beginning to be explored. An obvious structural consequence of an appropriately sized cyclic architecture (molecular or otherwise) is the ability to link one or more additional rings to it to form a chain. Such a proposal, in a molecular context, was made⁵ by Willstätter during a seminar in Zürich in the early part of the 20th century. Pioneering work by Lüttringhaus⁶ in the field of macrocylizations led to an attempt, reported in 1958, to synthesize a compound comprised of two linked rings, one of them being a cyclodextrin.7 Although it was unsuccessful, the discussion of generic strategies targeting the synthesis of such compounds laid the foundations for those that followed. It was not until 1960, that the synthesis of the first [2]catenane, a compound containing molecules comprised of two mutually interlocked rings, was reported by Wasserman.8 This landmark in chemical synthesis spurred the pursuit of chemical topology^{5,9} and topological stereochemistry¹⁰ and resulted in the creation of many interwoven and interlocked molecular compounds.11

Whereas two rings can only be linked mechanically¹² in just one arrangement, i.e., a [2] catenane (**I**), much more complex topological constructs (e.g., **II–IV**) can be as-

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FIGURE 1. Some nontrivial two- and three-ring links, namely, (I) a [2]catenane, (II) a linear [3]catenane, (III) a cyclic [3]catenane, ¹⁴ and (IV) the Borromean rings.



FIGURE 2. Symbols with the topology of the Borromean-link crosscultural divides throughout history as can be visualized¹⁵ by their use in (a) the crest of the Borromeo family of Renaissance Italy, (b) Christian iconography to represent the Holy Trinity, (c) Norse mythology as the Walknot (knot of the slain), and (d) Shinto symbolism, signifying the three realms of heaven, earth, and the underworld.

sembled with three (or more) rings, as exemplified (Figure 1) by van Gulick.¹³ One of the most intriguing of the threering links is IV; no two rings are linked (i.e., catenated), vet all three remain, as a whole, inseparable. A significant corollary, therefore, is that, upon scission of any one ring, the entire assembly falls apart to give three separate components, namely, two free rings and a linear remnant of the cleaved ring. The use of motifs such as IV in their heraldic designs (Figure 2a) forever connected¹⁵ the Borromeo family of Renaissance Italy¹⁶ with this interweaving of three rings. To this day, links exhibiting this topology are commonly referred to as Borromean rings. Such symbols, however, significantly predate this period of history. Earlier examples (parts b-d of Figure 2) can be found^{15,17} in Christian iconography and Norse mythology, as well as in ancient Asian engravings. In the general sense, this link is often emblematic of an entity which, as a whole, consists of three vital synergistic parts that, following removal of any one of the integral components,

cannot persist. Parallels with accepted dogma, either cultural, familial, or spiritual, are apparent, with the common tenet being that of "strength in unity". This fundamental message perhaps rationalizes the widespread yet independent adoption¹⁸ of this imagery across both geographical and historical divides. Such allegory is also pertinent in a less abstract context and has been admitted into the scientific lexicon to describe more eloquently the properties of halo nuclei.¹⁹

In chemistry, the topology of the molecular Borromean rings poses a significant synthetic challenge. The rise of supramolecular chemistry²⁰ and the concomitant study of noncovalent bonding interactions has facilitated our ability to manipulate the geometry of multiple interacting and/or reacting components, so much so, that supramolecular assistance to covalent synthesis has become an invaluable paradigm²¹ for the creation of interlocked molecules. Nevertheless, although the template-directed²² synthesis of catenanes,¹¹ rotaxanes,^{11,23} and knots,^{11,24} is now routine, the unique disposition of the three noncatenated yet mutually interlocked rings of the Borromeanlink topology introduces an even higher level of complexity. Here, we present a survey of possible retrosynthetic disconnections and review the state of the art in terms of the application of these pathways toward the ultimate goal, the synthesis of molecular Borromean rings.

Generic Strategies

A retrosynthetic analysis of the Borromean link (IV) reveals (Figure 3) four reasonable starting points (a-d) from which to mount a challenge on the synthesis of a compound with this exceptional topology. Although each pathway is not unique,²⁵ the initial supermolecules, a ringin-ring complex (a), an interwoven braid (b), a tennis-ball seam complex (c), and double-threaded [3]pseudorotaxanes (d), define four distinct strategies for the construction of molecular Borromean rings. The ring-in-ring approach (a) relies initially upon the ability to thread perpendicularly one macrocycle through another. Once this threading is orchestrated, linear precursors of the final ring must be woven throughout the ring-in-ring supermolecule, ensuring the formation of a Borromean link upon macrocyclization. By contrast, a method (b) based on the multiple cyclization of acyclic fragments is also feasible. The braiding together of three molecular strands, in a highly specific fashion, affords an intertwined supermol-



FIGURE 3. Generic strategies for the synthesis of Borromean-ring compounds (IV), which may also be depicted in *orthogonal* and *Venn* arrangements.

ecule harboring the crossing points that, following the appropriate triple macrocyclization, ultimately define the Borromean topology. The two other retrosynthetic disconnections are founded upon the ability to complex, in very different ways, a macrocyclic component with two linear ones. Assembly of a macrocycle and two linear guest molecules into a complex reminiscent, in part, of a tennisball seam (c) would, if followed by the appropriate double macrocyclization, afford a Borromean-ring compound. Alternatively, a double-threaded [3]pseudorotaxane is formed (d) when the two linear components both pierce the cavity of the macrocycle. Two of these supermolecules can be joined together, through the appropriate coupling of the acyclic guests, to form the desired Borromean architecture. The demands, common to each of these strategies, are not inconsiderable and dictate that a mastery of making both covalent and noncovalent bonds is paramount. Indeed, not only must the macrocyclizations proceed with high chemo- and/or regioselectivity, but also the relative spatial dispositions of the reacting fragments must be precisely controlled, i.e., high stereoselectivities must be attained to enforce the required toposelectivity.

Ring-in-Ring Strategies

A ring-in-ring²⁶ strategy for the assembly of molecular Borromean rings was, to the best of our knowledge, first outlined in print by Busch²⁷ in a 1999 review on templated synthesis. This proposal (Figure 4) entails a ring-in-ring assembly in which the two aromatic rings of a bis-*para*- phenylene crown ether are encircled by a bisbipyridinium cyclophane, a variation upon a well-established²⁸ π -donor/ π -acceptor recognition motif. As a consequence of this mode of complexation, in which the inner macrocycle is pinched together in its middle, the two oligoether portions of the crown ether are expected to form loops on opposite sides of the tetracationic cyclophane. Thereafter, each of these loops, themselves reminiscent of smaller crown ethers when constrained in this manner, should be able to accommodate secondary dialkylammonium (R₂NH₂⁺) ions in a fashion analogous to another well-known²⁹ supramolecular threading motif. Subsequent [1+1] ring closure of the end-functionalized R₂NH₂⁺ ion-containing components would afford a molecule with the Borromean-link topology. Although this specific scheme, as far as we know, remains a paper one, the delineation of the strategy undoubtedly represents a significant milestone in the development of the field. Indeed, Busch proceeds to speculate that metal-ligand interactions, with their inherently precise coordination numbers and geometries could facilitate the assembly of Borromean rings in molecular forms.

The ability of macrocyclic polyethers to bind $R_2NH_2^+$ ions has been investigated extensively by $us^{29b,30}$ and others^{29a,31} during the past decade. The systematic variation in (i) the size of the cavity of the host and (ii) the geometry and number of $CH_2NH_2^+CH_2$ centers that define the guest has uncovered a reliable self-assembly algorithm³⁰ for the construction of interwoven supermolecules. Specifically, the propensity for bis-*para*-phenylene[34]-



FIGURE 4. Busch's proposed²⁷ ring-in-ring synthesis of a Borromean-ring compound.



FIGURE 5. Conceptual advance³² from a double-threaded [3]pseudorotaxane to a ring-in-ring complex based upon the interaction between crown ethers and secondary dialkylammonium ions.

crown-10 (BPP34C10) to host, within its cavity, two dibenzylammonium (DBA⁺) cations foreshadows (Figure 5) the possibility of a ring-in-ring counterpart. Simply put, the notional linking of the ends of the DBA⁺ ions in this double-threaded [3]pseudorotaxane ([BPP34C10·2DBA]²⁺) begets a ring-in-ring complex. Consequently, a suitably proportioned dicationic macrocycle possessing two ammonium sites should also nestle quite comfortably inside the cavity of BPP34C10. This hypothesis was indeed vindicated when it was found³² that the bismethylenebridged bis-DBA⁺ macrocycle 1-H₂·2PF₆ forms a 1:1 complex with BPP34C10 in both the solution and solid states. Moreover, X-ray crystallography reveals that stabilization of this superstructure is achieved through the anticipated intercomponent N⁺-H···O hydrogen-bonding interactions. In this case, the peripheral "loops" of the inner dicationic macrocycle do not protrude significantly beyond the mean plane of the crown ether belt, thereby precluding the penetration of the fragments necessary to construct the third and final ring of a Borromean ensemble. Nevertheless, this prototypical ring-in-ring species assuredly heralds the creation of similar 1:1 complexes in which BPP34C10 can be impaled reliably upon a range of dicationic macrocycles. By extension, the inclusion of expanded loop regions within these ammonium-ioncontaining macrocycles would permit the threading of precursors of the third ring. Obviously, size is not the only

consideration; a template is required to direct the final macrocyclization and ensure that the connections are made with the appropriate selectivity. This requirement can be satisfied by locating complementary recognition sites, orthogonal to those that mediate the assembly of the initial ring-in-ring complex so as to avoid scrambling, in both the linear threading pieces and the expanded loop regions.

The application of metallosupramolecular chemistry³³ to the challenge of generating ring-in-ring architectures soon followed, when Schmittel³⁴ reported the formation (Figure 6) of such mono- and dinuclear superstructures. Building upon his prior investigations³⁵ into the controlled self-assembly of heteroleptic bisphenanthroline metal complexes, he synthesized phenanthroline-containing macrocycles harboring either exo- or endotopic binding sites, representing molecular turns²⁷ that are divergent or convergent, respectively. Subsequent CuI-mediated association of two monophenanthroline macrocycles, one with an endotopic chelation site and the other with an exotopic one, afforded two examples of relatively compact yet also somewhat strained ring-in-ring complexes. The combination, however, of two significantly larger bisphenanthroline macrocycles, one bearing two endocyclic binding sites and the other presenting two exocyclic ones, gave a more stable dicopper ring-in-ring superstructure, as evidenced by mass spectrometric and nuclear magnetic



FIGURE 6. Schmittel's mono- and dinuclear ring-in-ring complexes³⁴ based upon macrocycles with exo (red) and endo (blue) phenan-throline recognition sites.

resonance (NMR) spectroscopic studies. Although Schmittel does not speculate as to the utility of these complexes, it is apparent that the principles that underpin this research could be exploited for the ring-in-ring syntheses of Borromean-ring compounds. The dinuclear complex could be adapted for this purpose. Incorporation of additional recognition elements, orthogonal to the phen– Cu¹–phen interaction, in the loops of each macrocycle, could facilitate threading of the components necessary for the construction of a third ring defining a Borromean topology for such a three-ring edifice.

These ring-in-ring strategies incorporate only recognition sites necessary for the mutual recognition of two macrocyclic components, no more and no less. The successful macrocyclization of the third ring of the Borromean link is necessarily dependent upon the precise orchestration of its precursors immediately prior to any ring-closing reaction. Satisfaction of this requirement can be achieved by installing additional recognition units in one—or ideally both for total control—of the constituent macrocycles of the ring-in-ring complex, to serve as templates for the formation of the third ring. This strategy is being pursued by Siegel,³⁶ who recently published his road map (Figure 7) for a ring-in-ring synthesis. The first ring in this pathway is a 66-membered one, containing two endo-oriented terpyridine moieties, that is obtained in very high yield via an elegant "figure-eight" synthesis guided by the complexation of a Cu^{II} ion. Unlike the ringin-ring approaches discussed previously, the second ring is constructed in situ; i.e., the first ring is used to template the formation of the second to afford, directly, a ring-inring complex. Two linear Ru^{II}-terpyridine complexes, bearing labile chloride ligands, skewer the cavity of the first ring. Displacement of the halides and association with the two endocyclic terpyridine moieties of the ring gives rise to two independent heteroleptic octahedral complexes. The subsequent capping of this double-threaded [3] pseudorotaxane intermediate with bipyridine-containing bridges not only creates the second ring of the assembly but also installs the extra recognition loops crucial for the successful knitting together of the third and final ring. Furthermore, the X-ray crystal structure of this intermediate reveals that the dimensions are such that there is ample space for the threading of linear components through the recognition loops that extend from either side of the ring-in-ring superstructure. It is only a matter of time before the successful implementation of this approach for the synthesis of a compound with the Borromean-link topology is reported.

All-in-One Strategies

By contrast with the stepwise macrocyclizations characteristic of the ring-in-ring approaches, all-in-one strategies achieve the knitting together of all three rings of the Borromean link in one fell swoop. The successful implementation of this comprehensive protocol, however, necessitates the careful selection and design of the acyclic precursors. These building blocks must be programmed to recognize one another in a selective fashion, ensuring their unambiguous union to form molecular Borromean rings. This methodology is arguably best-suited for the construction of homomacrocyclic Borromean-ring compounds, i.e., ones in which all three rings are identical, because selectivity issues are minimized in this case. On



FIGURE 7. Synthesis³⁶ of Siegel's ring-in-ring complex, in which two endo bipyridyl ligands await the threading of the components necessary for construction of the final ring of a molecular Borromean link.

the other hand, heteromacrocyclic Borromean links, i.e., those comprised of at least two different rings, can conceivably³⁶ be accessed by the stepwise ring-in-ring approach. Nonetheless, as the following example demonstrates, the all-in-one approach can, given exquisite control, produce a heteromacrocyclic Borromean link.

Although commonly recognized as a repository for the genetic blueprint of living organisms, DNA also serves as an effective construction material. This medium, most notably exploited by Seeman³⁷ for the creation of numerous nonnatural DNA nanostructures, has many attractive features. In particular, the predictable hydrogen-bondmediated Watson-Crick base pairing between DNA molecules harboring complementary base sequences represents a powerful self-assembly paradigm. Furthermore, biotechnology has given rise to a battery of techniques that allow us to create and subsequently manipulate DNA strands in a highly efficient and specific manner, whether linking them together, tearing them apart, or twisting them this way or that. Significantly, the fact that doublestranded DNA does not exist as a simple ladder structure, rather it winds itself into a helix, is fundamentally important in a topological context. Each double-helical turn represents a crossing point, characterized as either positive or negative, depending upon the handedness of the helix, that is equivalent to the "node" or "unit tangle" common to the field of topology. Using this relationship, the construction of different nucleic-acid-based topological species can be achieved by the judicious linking together of combinations of right- and/or left-handed doublehelical DNA, denoted as B- and Z-DNA, respectively.

The traditional planar Venn representation of the Borromean rings can be redrawn (Figure 8) such that all of the nodes are replaced by one and a half turns of a double helix. The three inner helices are right-handed, whereas the three outer ones are left-handed, reflecting the equal number of positive and negative crossings that define a Borromean link. This "polar projection" can be deformed continuously into a "three-dimensional" representation that reveals a more obvious retrosynthetic disconnection, involving the ligation of two stable threearm junctions, one constructed from B-DNA and the other from Z-DNA. Using this strategy, Seeman³⁸ designed and synthesized six single DNA strands and assembled them separately into the two required junctions, before they were combined and annealed to form a DNA Borromean link.³⁹ This landmark in topological chemistry represents the first molecular expression of the Borromean rings and arises from a braiding approach, equivalent to that first suggested by van Gulick.¹³ Each ring of this heteromacrocyclic DNA Borromean link contains a different hairpin motif, each harboring a site that is targeted by a specific restriction enzyme. This design feature allows for the conclusive characterization of the product and confirms the expected topology. When, in turn, each ring of the link is cleaved, only its own linear debris and the other two separate, i.e., noncatenated rings, remain, an innate and defining feature of such an assembly.

In addition to this hydrogen-bonding approach, the interweaving of acyclic molecular strands, resulting in the formation of complex architectures, can also be mediated⁴⁰ by metal–ligand interactions. In isolation, the six crossing points depicted (Figure 3) in the orthogonal representation of the Borromean rings can be equated with mononuclear metal–ligand complexes in which two rings of the Borromean link furnish the necessary ligands, one exocyclic and one endocyclic. Overall, this model (top of Figure 9) requires, therefore, that each component macrocycle of the Borromean link be comprised of a sequence of four alternating (exo/endo/exo/endo) che-



FIGURE 8. (Top) Translation and deformation of the *Venn* Borromean link into a *3D* structure with double-helical regions. (Bottom) Synthetic approach³⁸ for the formation of a DNA Borromean-ring compound from the ligation of two three-arm junctions.



X-Ray Crystal Structure

FIGURE 9. (Top) Schematic assembly of a Borromean link from a combination of *endo-tridentate* and *exo-bidentate* ligands around *metal ion templates.* (Bottom) Reversible reaction of 2,6-diformylpyridine with a diamine, containing a dipyridyl binding site, in the presence of zinc acetate affords⁴² a molecular Borromean link.

lation sites. In essence, such requirements are just those of the metallosupramolecular ring-in-ring strategies discussed^{34,35} earlier. In contrast, however, introducing the aspect of reversibility by performing the covalent as well as the noncovalent assembly under thermodynamic control allows for all three rings to be synthesized in one pot. If complete cross complementarity between the exo and endo sites (i.e., exo only matches with endo and vice versa) is achieved, successful ring closing of all three rings should result in the formation of molecular Borromean rings. Furthermore, the use of dynamic covalent chemistry⁴¹ introduces the all-important element of error checking whereby "incorrect" products fall victim to a thermodynamic proof-reading step wherein their components are cycled back into an equilibrating mixture, which, if the system has been designed correctly, should ultimately afford the stable Borromean-ring structure. We have been pursuing such a strategy since 1999, by employing⁴² tridentate 2,6-bisiminopyridyl and bidentate 2,2-bipyridyl moieties as the endo and exo ligands, respectively. This choice of ligands not only facilitates the selective formation of heteroleptic five-coordinate complexes, i.e., satisfying the necessary endo/exo complementarity, but also provides the required dynamic covalent bond, namely, the carbon-nitrogen double bonds, i.e., imines. Once the correct spacing between the recognition sites had been determined using computer-aided molecular modeling, the desired diamine ligand was synthesized in five steps in an overall yield of 42%. This ligand was subsequently reacted, in boiling methanolic solution, with 2,6-diformylpyridine in the presence of zinc acetate (bottom of Figure 9) affording, in \sim 80% yield without chromatography, the hexanuclear⁴³ Borromean-ring compound. The structure was characterized in solution (NMR spectroscopy) and in the gas phase (electrospray ionization mass spectrometry), and its interlocked nature was confirmed

unambiguously by X-ray crystallography, which reveals the formation of a nanoscale Borromean link measuring roughly 2.5 nm across. In addition, this structure possesses an inner chamber, with a volume of 250 Å³, lined with 12 oxygen atoms and, as such, is reminiscent of Cram's spherands.⁴⁴ Indeed, when the assembly of these Borromean rings is performed in 95% ethanol, there is strong evidence suggesting that the cavity is occupied partially with a seventh Zn^{II} ion.

Conclusions

On account of the endless fascination the Borromean rings hold far beyond the realms of chemistry; they have long been considered a holy grail for synthetic chemists inspired by topology and committed to extending the influence of the mechanical bond beyond catenanes, rotaxanes, and knots. And indeed, as the saying goes, there is more than one way to skin a cat! Conceptually, the [3]pseudorotaxane and tennis-ball seam complexes offer viable routes for the formation of Borromean-ring compounds, and the successful implementation of either of these methods simply awaits the creative exploitation of appropriate molecular recognition paradigms. The stepwise ring-in-ring strategies will undoubtedly result in the formation of Borromean-ring compounds, but with heavy investments in the more traditional aspects of synthesis, it is difficult to envision such approaches yielding large quantities of material. Nevertheless, such protocols perhaps offer a greater degree of structural control, thereby allowing easier access to systems comprised of at least two different rings. Comprehensive template-directed, allin-one strategies represent the most efficient and indeed successful approaches to date. Seeman's clever manipulation of DNA sequences resulted in the first known example of molecular Borromean rings. The smallest known Borromean link to date resulted from an all-in-one synthetic strategy that combines the virtues of reversibility, proof reading, and error checking that we associate with supramolecular and dynamic covalent chemistry, together with the geometrical guidance and precision that we entrust to coordination chemistry. We are confident that a new era in topological chemistry is dawning. More chemical examples of Borromean links await discovery⁴⁵ and creation.⁴⁶

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cal diastereoisomer of **III** is one in which the sense of only one of the helical crossings is reversed, i.e., the *MMP* isomer, which constitutes a second topologically enantiomeric pair with its nonsuperimposable mirror image, namely, the *PPM* isomer.

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- (16) Although named after the Borromeo family, this linked symbol is also associated with other prominent Italian families of the period (for details, see ref 15). Most notable amongst these is the Medici family of Florence, whose connection with this imagery is immortalized in Botticelli's painting, Pallas and the Centaur. Finished in 1482, this piece of art, commissioned by Lorenzo di Piero de'Medici, otherwise known as Lorenzo the Magnificent, depicts Pallas (Athena) taming a centaur, a motif that is thought to be allegorical of wisdom triumphing over instinct. Significantly, the gown worn by Pallas is decorated with three- and fourcomponent links, with the former akin to the Borromean rings, which were symbols of the Medici family. This painting now hangs in the Uffizi Gallery in Florence. We thank Joanne Wright in the Department of Fine Arts at the University of Nottingham for bringing this association to our attention.
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AR040226X